## **NEXT IRS**

# ETHICS, INTEGRITY & APTITUDE

# CIVIL SERVICES EXAMINATION 2025

Published by





#### **MADE EASY Publications Pvt. Ltd.**

Corporate Office: 44-A/4, Kalu Sarai

(Near Hauz Khas Metro Station), New Delhi-110016

Contact: 011-45124660, 8860378007

E-mail: infomep@madeeasy.in

Visit us at: www.madeeasypublications.org



#### **Ethics, Integrity & Aptitude**

© Copyright, by MADE EASY Publications Pvt. Ltd.

All rights are reserved. No part of this publication may be reproduced, stored in or introduced into a retrieval system, or transmitted in any form or by any means (electronic, mechanical, photo-copying, recording or otherwise), without the prior written permission of the above mentioned publisher of this book.

First Edition: 2017 Second Edition: 2018 Third Edition: 2019 Revised & Updated: 2020 Fourth Edition: 2021 Fifth Edition: 2022

Sixth Edition: 2023

Seventh Edition: 2024

## **Contents**

## **Ethics, Integrity & Aptitude**

	Chapter - 1		2.9	Restoration of Values	23
Ethics and Human Interface 1			2.10	Lessons from Personalities	
				2.10.1 Indian Leaders	24
1.1	Introduction to Ethics			2.10.2 Leaders Around the World	30
	Meaning				
	1.1.1 Evolution of Ethics			Chapter - 3	
	1.1.2 Need to Study Ethics	3			
	1.1.3 Differentiating Ethics	3	Attit	ude	32
	1.1.4 Sources of Right and Wrong	5	3.1	Introduction	32
1.2	Ethical Decision Making	5		3.1.1 Definition	32
1.3	Dimensions of Ethics	5		3.1.2 Components	32
1.4	Non-Normative Approaches	6		3.1.3 Comparison	32
	1.4.1 Meta Ethics	6	3.2	Types of Attitude	
1.5	Normative Ethics		3.3	Functions of Attitude	
	1.5.1 General Normative Ethics	6	3.4	Properties of Attitude	
	1.5.2 Applied Ethics	8	3.5	Moral Attitude	
1.6	Ethics in Public and Private Relationships	14			
			3.6	Attitude-Behaviour Relationship	
	Chapter - 2		3.7	Prejudice and Discrimination	
Valu	es	. 16		3.7.1 Prejudice	
				3.7.2 Discrimination	36
2.1	Introduction		3.8	Attitude in Civil Services	36
	2.1.1 Definition	16	3.9	Theories of Attitude Formation	37
2.2	Types of Values	17	3.10	Cognitive Dissonance	38
	2.2.1 Hierarchical Arrangement	17	3.11	Social Influence	38
2.3	Main Features of Values	17		3.11.1 Introduction	38
2.4	Importance of Values	18		3.11.2 Sources	38
2.5	Functions of Values	18		3.11.3 Types	38
2.6	Universality of Values	18		3.11.4 Principles of Social Influence	39
	2.6.1 Universalism v/s Relativism	18	3.12	Persuasion	
	2.6.2 Universal Values	19		3.12.1 Process	40
2.7	Inculcating Values	20		3.12.2 Persuausion and Attitude Change	
2.8	Value Crisis in Contemporary World	22		3.12.3 Factors Making an Individual more	
0	2.8.1 Introduction			Persuasive	<b>∆</b> 1
	2.8.2 Reasons for Value Crisis	23		3.12.4 Resisting Persuasion	

	Chapter - 4			Elton Mayo	
			6.5.19	Mary Parker Follett	7
	tude and Foundational Values for		6.5.20	Max Weber	72
Civil	Service 44		6.5.21	Diogenes	70
4.1	Aptitude44		6.5.22	Henry David Thoreau	70
4.2	Foundational Values of Civil Services44		6.5.23	John Dewey	74
4.3	2 <sup>nd</sup> ARC on Values in Civil Services47		6.5.24	William James	75
			6.5.25	Schopenhauer	75
	Chapter - 5		6.5.26	Augustine of Hippo	76
<b>-</b>	4:			Machiavelli	
Emo	tional Intelligence49		6.5.28	Mary Wollstonecraft	7
5.1	Introduction to Emotions49	0.0	Indian		78
	5.1.1 Definition		6.3.1	Adi Shankaracharya	78
	5.1.2 Paul Ekman's Basic Emotions		6.3.2	Chanakya	78
	5.1.3 Functions of Emotion		6.3.3	Mahatma Gandhi	79
5.2	Emotional Intelligence51		6.3.4	Buddha	80
	5.2.1 Introduction51		6.3.5	Swami Dayanand Saraswati	8
	5.2.2 Definitions51		6.3.6	Swami Vivekananda	8
5.3	Significance of El55		6.3.7	Raja Ram Mohan Roy	82
5.4			6.3.8	Dalai Lama	8
	Emotional Intelligence at Work Place		6.3.9	Thiruvalluvar	8
5.5	Emotional Intelligence in Governance57		6.3.10	Rabindranath Tagore	84
5.6	Emotional Intelligence and Leadership57		6.3.11	Aurobindo Ghosh	84
5.7	Downsides of Emotional Intelligence58		6.3.12	Jawaharlal Nehru	85
			6.3.13	Amartya Sen & his Capabilities	
	Chapter - 6			Approach to Ethics	86
N /	al Thinkeys and Philosophers 50			Chanton 7	
wor	al Thinkers and Philosophers 59			Chapter - 7	
6.1	Introduction59	Pub	lic/Civi	il Service Values and	
6.2	Western59	Eth	ics in P	ublic Administration	87
	6.2.1 Socrates59				
	6.2.2 Plato59	7.1	Introdu	uction to Ethics in Public Administration	8
	6.2.3 Aristotle 60		7 1 1	Public Administration	8-
	6.2.4 Immanuel Kant61		7.1.2	Need for Ethics in Public Administration	
	6.2.5 Jeremy Bentham62		7.1.3	Determinants of Ethics in Public	,
	6.3.6 John Stuart Mill62			Administration	8
	6.2.7 Epicurus		7.1.4	Status of Ethics in Public Administration	
	6.2.8 Thomas Hobbes64	7.2		I Concerns and Dilemma	
	6.2.9 John Locke		7.2.1	Conditions for Ethical Dilemma	
	6.2.10 Jean-Jacques Rousseau65		7.2.2	Ethical Dilemmas Faced by Public	00
	6.2.11 John Rawls65		1.2.2	Servants	89
	6.2.12 Confucius		7.2.3	Ethical Concerns and Dilemma in	
	6.2.13 Karl Marx67			the Private Sector	90
	6.2.14 Hegel68		7.2.4	Ethical Issues Related to Employer-	
	6.2.15 Carol Gilligan68			Employee Relationship	90
	6.2.16 Thomas Jefferson69	1.5	Source	es of Ethical Guidance	9
	6.2.17 Thomas Aquinas70				

	7.3.1	Laws as a Source of Ethics				Chapter - 9	
	7.3.2	Relationship between Law and		Dual	- 14 1	C	100
	7.3.3	Conscience as a Source of Eth	ics92	Pro	oity in	Governance	108
	7.3.4	When Laws and Rules are in Conflict with Conscience	02	9.1	Conce	ept of Public Services	108
		Commet with Conscience	92		9.1.1	Introduction	
7.4	Accou	ıntability and Ethical Governance	93	0.0			
	7.4.1	Introduction to Accountability	93	9.2		ophical Basis of Governanceion	
	7.4.2	Importance of Accountability to	)				
		Governance		9.3		y and Corruption	
	7.4.3	Types of Accountability				oles of Probity	109
	7.4.4	Strategy to Enforce Accountab	ility 95	9.4		ation Sharing and Transparency in	440
7.5	Strengthening of Ethical and Moral Values					nance	
	in Gov	/ernance	96		9.4.1 9.4.2	Introduction  Definition	
7.6	Ethica	Il Issues in International Relation	and		9.4.2	Importance of Transparency in	110
	Fundir	ng	97		3.4.0	Governance	110
	7.6.1	Introduction			9.4.4	Right to Information	
	7.6.2	Principles of Ethics in Internation			9.4.5	Whistle-blowing	
	7.0.2	Relations					
	7.00			9.5		strative Ethics	
	7.6.3	Ethical Issues Involved	97		9.5.1	Introduction	
7.7	Ethics	of International Funding	99		9.5.2	Need for Ethics in Administration	115
	7.7.1	Case for Foreign Aid	99	9.6	Citizer	n's Charter	117
	7.7.2	Case Against Foreign Aid	99	3.6	9.6.1	Introduction	
					9.6.2	Rationale of Citizen's Charter	
		Chapter - 8			9.6.3	Components of Citizen's Charter	118
Cori	orate	Governance	100		9.6.4	Expectations of Citizens from	
COI	Joiate	dovernance				Government	118
8.1	Introd	uction to Business Ethics	100		9.6.5	Case Study: Jan Sewa Kendra,	
					0.00	Ahmedabad	
8.2	Import	tance of Ethics in Management Pra	actices100		9.6.6	Issues in Implementation	
8.3	Corporate Governance101			9.7		Culture	
	8.3.1	Introduction	101		9.7.1	Introduction	
	8.3.2	Principles of Good Corporate			9.7.2	Types of Work Culture	
		Governance	101		9.7.3	Attributes	
	8.3.3	Need of Good Corporate Gove	rnance . 102		9.7.4	Ways to Improve	
				9.8		tion of Public Funds	
8.4	Model	s of Corporate Governance	102		9.8.1	Meaning	
8.5	Corpo	rate Governance in India	103		9.8.2	Areas of Utilization of Public Funds	2
0.5	8.5.1 Need and Significance				9.8.3	Need for Ethical Utilization of	
	8.5.2	Guiding Principles				Public Funds	121
	8.5.3	Regulatory Framework			9.8.4	Reasons for Unethical use of	
8.6	Corpo	rate Social Responsibility	106			Public Funds	122
	8.6.1	Concept			0.05		
	8.6.2	Definition			9.8.5	Public Finance Management	
	8.6.3	CSR in India	107			System (PFMS)	122

9.9	Quality 9.9.1	of Service Delivery	
	9.9.2	Importance	123
	9.9.3	Indian Experience	123
	9.9.4	Causes of Low Quality of Service Delivery in India	124
	9.9.5	Ways to Improve Service Delivery	124
	9.9.6	Sevottam Model	125
9.10		otion Definition	
	9.10.2	Various Forms	127
		Classification	

9.10.5	Nature and Status of Corruption in		
	India		
9.10.6	Corruption as a Deviant Behavior 128		
9.10.7	Corruption as a Sign of Moral		
	Decline in Society 128		
9.10.8	Consequences of Corruption 128		
9.10.9	Strategies to Tackle Corruption 129		
PREVIOUS YEAR QUESTIONS 125 (2013 to 2017) . 131			
Case Studies: Practice Questions			
Civil Services Examination (CSE) Mains :			
Previous Year Questions 167			





# CHAPTER 5

## EMOTIONAL INTELLIGENCE

#### 5.1 Introduction to Emotions

"When dealing with people, remember you are not dealing with creatures of logic, but with creatures of emotion."

—Dale Carnegie

#### 5.1.1 Definition

Man is social animal and we deal with different people in different walks of life. People have emotions and they affect their attitude, behavior, relationships and decision making. It is impossible to imagine life without emotion. We treasure our feelings—the joy of watching cricket, the fun with friends. Even negative emotions are important, such as the sadness when a loved one dies, the anger when violated. Emotions color life experiences and give those experiences meaning and flavor. So it is important for us to know and deal with emotions.

Though there is no consensus on the definition of emotion, Emotion can be defined as any conscious experience characterized by intense mental activity and a certain degree of pleasure or displeasure. Emotion is often intertwined with mood, temperament, personality, disposition, and motivation.

#### 5.1.2 Paul Ekman's Basic Emotions

"Emotions are a process, a particular kind of automatic appraisal influenced by our evolutionary and personal past, in which we sense that something important to our welfare is occurring, and a set of psychological changes and emotional behaviors begins to deal with the situation."

- Paul Ekman

Emotions occur in response to some kind of stimulus (actual, imagined, or re-lived) such as:

- a physical event
- a social interaction
- remembering or imagining an event
- talking about, thinking about, or physically reenacting a past emotional experience

However, the true causes of our emotions differ from person to person depending on our individual experiences,

cultural influences, and common evolutionary history. Therefore, with emotional awareness, we may choose how we respond even though we cannot control the feelings we feel.

Paul Ekman has identified six basic emotions. The six emotions are anger, surprise, disgust, happiness, fear, and sadness. His research shows the strongest evidence to date of a seventh emotion, which is contempt.

#### 5.1.3 Functions of Emotion

Emotions play many important roles in people's lives and have been the topic of scientific inquiry in psychology for well over a century.

Emotions basically help us to understand ourselves, understand others and also help others to understand us. Functions of emotions can be divided as follows:

#### A. Intrapersonal Functions of Emotions

These refer to the role that emotions play within each of us individually.

- Awareness: E.g. if we did not have emotions, we could not make rapid decisions concerning whether to attack, defend, flee, care for others, reject food, or approach something useful, all of which were functionally adaptive in our evolutionary history and helped us to survive.
- Prepare the Body for Immediate Action: E.g. when
  we are afraid, our bodies shut down temporarily
  unneeded digestive processes, resulting in saliva
  reduction (a dry mouth); blood flows disproportionately
  to the lower half of the body.
- Emotions Influence Thoughts: E.g. it is easier to remember happy thoughts when we are happy, and angry times when we are angry. It is difficult to think critically and clearly when we feel intense emotions, but easier when we are not overwhelmed with emotions.
- Emotions Motivate Future Behaviors: E.g. once
  we have felt the emotion of disgust when drinking the
  spoiled milk, we generally work very hard to avoid
  having those feelings again (checking the expiration
  date on the label before buying the milk, smelling the
  milk before drinking it).



#### What is Emotional Intelligence?

Emotional intelligence or VNEQ is the ability to "understand and manage your own emotions, and those of the people around you, "according to Daniel Goleman and American psychologist renowned as the Godfather of EQ.

80%-90% of the competencies that differentiate top performers are in the domain of emotional intelligence.

-Daniel Goleman

#### Why is it important?

Leaders who can work with others well can foster individual, learn and organizational success.

When we're in touch with out emotions we're at choice. We are able to take control of our emotions and our actions, rather than letting our emotions control

### **Emotional Intelligence**

#### What Makes UP **Emotional Intelligence?**

- Self Reflection
- Self-Soothing
- Empathy

#### **Five Characteristics of Emotionally Intelligent People**

- 1. Change Agents: Aren't afraid of change or taking risk.
- 2. Self-Answer: Don't let weakness hold them back, they know what they're feeling
- 3. Empathetic: Can relate to others.
- 4. Balanced: Know how to maintain balance and practice self care
- 5. Gradous: Glass half-full mentality and they feel good about their



- Able to set small steps to
- achieve large goals
  Able to continue to work
  despite frustration
- (perseverance)
  Able to follow through and finish tasks (persistence)

#### **Handling Emotions**

- Know how to handle upset feelings Know how to calm yourself
- Know how to control
- impulses
- Know how to stay positive
- Know how to be flexible

**Dimensions of Emotional Intelligence** 

#### **Empathy**

- · Able to read and understand other people's feelings
- · Able to read body language
- · Able to take another's
- perspective

  Want to help others who are hurt or sad



#### Self-Awareness

- Know your own mood and how you feel about it
- Know your own emotional
- strengths and weaknesses · Know words (signs) for your
- · Know what action options you have (what you can do about your feelings)

#### **Social Skills**

- Able to get along with others Able to work well in groups and teams
- Able to make and keep friends
- · Able to solve problems and conflicts with others
- Able to interact appropriately with different people in different situations



#### **B.** Interpersonal Functions of Emotions

These refer to the role emotions play between individuals within a group.

- Emotional Expressions Facilitate Specific
  Behaviors in Perceivers: E.g. People observing
  fearful faces are more likely to produce approachrelated behaviors, whereas people who observe angry
  faces are more likely to produce avoidance-related
  behaviors.
- Emotional Expressions Signal the Nature of Interpersonal Relationships: E.g. Married couple with discrete expressions of contempt and disgust shows marital dissatisfaction.
- Emotional Expressions Provide Incentives for Desired Social Behavior: E.g. Mother having toy in hand if shows joyful expression then baby is more likely to touch the toy compared to when she shows angry expressions.

#### C. Social and Cultural Functions of Emotion

These refer to the role that emotions play in the maintenance of social order within a society.

Human social life is complex. Individuals are members of multiple groups, with multiple social roles, norms, and expectations, and people move rapidly in and out of the multiple groups of which they are members. In such a scenario it is important that our emotions are managed so that our behavior is socially appropriate and we live in a harmonious and constructive society.

Our cultural background tells us which emotions are ideal to have, and which are not. The cultural transmission of information related to emotions occurs in many ways, from child rearers to children, as well as from the cultural products available in our world, such as books, movies, ads, and the like. Our culturally moderated emotions can help us engage in socially appropriate behaviors, as defined by our cultures, and thus reduce social complexity and increase social order, avoiding social chaos.

Now we know what emotions are and their utility we can proceeds to know about emotional intelligence.

#### 5.2 Emotional Intelligence

#### 5.2.1 Introduction

Emotional intelligence (EI), is the capability of individuals to recognize their own emotions and those of others, discern between different feelings and label them appropriately, use emotional information to guide thinking and behavior, and manage and/or adjust emotions to

adapt to environments or achieve one's goal(s). In other words we can say that EI is the practice of:

- Understanding our emotions
- Managing our emotions
- Understanding emotions of others
- Managing/influencing their emotions
- Using these emotions to inform our decision making to achieve our goals.

In practical terms, this means being aware that emotions can drive our behavior and impact people (positively and negatively), and learning how to manage those emotions – both our own and others – especially when we are under pressure.

#### 5.2.2 Definitions

According to **Mayer & Salovey**, **1990**: Emotional Intelligence is "The ability to monitor one's own and others' feelings and emotions, to discriminate among them and to use this information to guide one's thinking and actions" It implies an ability to recognize the meaning of emotion and their relationships and to reason and to solve problems on the basis of them.

According to **Goleman, 1995:** Emotional Intelligence is "Understanding one's own feelings, empathy for the feeling of others and the regulation of the emotion in a way that enhances living". A generic competence in perceiving emotions both in oneself and in others. This competence also helps regulate emotions and cope effectively with emotive situations.

Though we have defined EI, substantial disagreement exists regarding the definition of EI, with respect to both terminology and operationalizations. Currently, there are three main models of EI:

- Ability model
- Mixed model (usually subsumed under trait EI)
- Trait model

#### A. Ability Model

The ability-based model views emotions as useful sources of information that help one to make sense of and navigate the social environment. The model proposes that individuals vary in their ability to process information of an emotional nature and in their ability to relate emotional processing to a wider cognition. This ability is seen to manifest itself in certain adaptive behaviors. This model is developed by Salovey and Mayer's.



After research definition of EI according to ability model evolved into 'The ability to perceive emotion, integrate emotion to facilitate thought, understand emotions and to

regulate emotions to promote personal growth.'

The model claims that El includes four types of abilities:

#### Understanding encourages thinking about the implications of emotions. 4 Managing 3 Understanding Understand complex emotional information intellectual, and personal and how emotions are linked to relationships Using leads to focusing on A Managing encourages emotions and related openness to feelings. information 2 Using Use emotions to 1 Perceiving facilitate cognitive processes Perceive, appraise, and express emotion Perceiving emotion leads to automatic influences on cognition

- Perceiving Emotions: Through facial expression, body language, pictures, voices, and so on, a person can recognize the emotions of others. This also includes the individual's ability to recognize and identify their own emotions as well. Perceiving emotions represents a basic aspect of emotional intelligence, as it makes all other processing of emotional information possible.
- Using Emotions: The ability to harness emotions to facilitate various cognitive activities, such as thinking and problem solving. The emotionally intelligent person can capitalize fully upon his or others changing moods in order to best fit the task at hand. E.g. If your boss is in good mood then you can go and ask him for leave rather than when he is in bad mood.
- Understanding Emotions: The ability to comprehend emotional language and to appreciate complicated

- relationships among emotions. Emotions contain useful information. E.g. Disgust is a sign of something going wrong. People understand emotions know that what is the cause of the particular emotion, what that emotion means and how is it going to affect the behavior.
- Managing Emotions: The ability to regulate emotions in both ourselves and in others. Therefore, an emotionally intelligent person can harness emotions, even negative ones, and manage them to achieve intended goals. As the highest level of ability in the Ability Model, someone with high Emotional Intelligence would be expected to be able to manipulate the moods of themselves or others, essentially harnessing the mood and managing it to achieve their goals. For example, a supervisor at a job may recognize that an employee is struggling with something emotionally and it is affecting their work.



The supervisor (if they have a high level of Emotional Intelligence, according to the Ability Model) may be able to help motivate the employee by meeting their emotional needs through pep talks, a heart-to-heart conversation, or even a spirit of competition – whatever that individual employee will respond to.

#### **B.** Mixed Model

#### Interpersonal

- Emotional Self-Awareness
- Assertiveness
- Independence
- Self-Regard
- Self-Actualization

#### **Adaptability**

- Problem-Solving
- Reality Testing
- Flexibility

#### Interpersonal

- Empathy
- Social Responsibility
- Interpersonal Relationship

#### **Stress Management**

- Impulse Control

#### **General Mood**

- Happiness
- Optimism



**Effective Performance** 

- Stress Tolerance

#### (i) Bar-On's Mixed Model of Emotional Intelligence

Reuven Bar-On (2006) developed the first measuring tool of emotional intelligence that was used as the term Emotional Quotient. Bar-On's model of emotional intelligence relates to the potential for performance and success, rather than performance or success itself, and is considered processoriented rather than outcome-oriented. It focuses on:

- 1. A group of emotional and social abilities, including the ability to be aware of, understand, and express oneself, and the ability to be aware of, understand, and relate to others.
- 2. The ability to deal with strong emotions, and the ability to adapt to change and solve problems of a social or personal nature.

Bar-On mentioned that emotional intelligence develops over time and that it can be improved through training, programming and therapy.

Bar-On found that individuals with higher than average E.Q.'s are in general more successful in meeting environmental demands and pressures and deficiency in emotional intelligence can mean a lack of success and the existence of emotional problems.

According to the Bar-On model, emotional-social intelligence is 'cross-section of interrelated emotional and social competencies, skills and facilitators that determine how well we understand and express ourselves, understand others and relate with them, and cope with daily demands, challenges and pressures.'

In his model, Bar-On outlines five components of emotional intelligence: intrapersonal, interpersonal, adaptability, stress management and general mood. Each of these five components comprise a number of closely related competencies, skills and facilitators (15 in all), are listed and briefly defined below.

- (a) Intrapersonal (Self-Awareness and Self-**Expression):** This meta-factor relates primarily to selfawareness and self-expression, governing our ability to be aware of our emotions and ourselves in general, to understand our strengths and weaknesses, and to express our feelings and ourselves non-destructively.
  - Self-Regard (being aware of, understanding and accepting ourselves).
  - Emotional Self-Awareness (being aware of and understanding our emotions).
  - Assertiveness (expressing our feelings and ourselves non-destructively).
    - Independence (being self-reliant and free of emotional dependency on others).
  - Self-Actualization (setting and achieving goals to actualize our potential).

#### (b) Interpersonal (Social Awareness and Interaction):

It relates primarily to social awareness, skills and interaction. This meta-factor is, essentially, concerned with our ability to be aware of others' feelings, concerns and needs, and to be able to establish and maintain cooperative, constructive and mutually satisfying relationships. They understand, interact with and relate well with others. They inspire trust and function well as part of a team.

- Empathy (being aware of and understanding how others feel).
- Social Responsibility (identifying with and feeling part of our social groups).
- Interpersonal Relationship (establishing mutually satisfying relationships).
- (c) Stress Management (Emotional Management and Control): This component of emotional-social intelligence relates primarily to emotional management and control and governs our ability to deal with emotions so that they work for us and not against us.



- Stress Tolerance (effectively and constructively managing our emotions).
- Impulse Control (ability to subdue our impulses in order to achieve longer-term goals).
- (d) Adaptability (Change Management): This metafactor relates primarily to change management i.e., how we cope up with and adapt to personal and interpersonal change as well as change in our immediate environment.
  - Reality Testing (validating our feelings and thinking with external reality).
  - **Flexibility** (coping with and adapting to change in our daily life).
  - Problem Solving (generating effective solutions to problems of an intrapersonal and interpersonal nature).
- (e) General Mood (Self-Motivation): This meta-factor is closely associated with self motivation. It determines our ability to enjoy ourselves, others and life in general, as well as influences our general outlook on life and overall feeling of contentment.
  - Optimism (having a positive outlook and looking at the brighter side of life).
  - Happiness (feeling content with ourselves, others and life in general).

#### (ii) Daniel Goleman's Mixed Model

SELF SOCIAL **SELF-AWARENESS** SOCIAL AWARENESS RECOGNITION **Emotional Self-Awareness Empathy** Accurate Self-Assessment Organisational Self-Confidence **Awareness** Service Orientation **SELF-MANAGEMENT RELATIONSHIP** MANAGEMENT Self-Control Inspirational Leadership Transparency **Developing Others** Adaptability Influence Change Catalyst Achievement Drive Conflict Management Initiative **Building Bonds** Teamwork & Collaboration

The model was introduced by Daniel Goleman focuses on El as a wide array of competencies and skills that drive leadership performance. Goleman's model outlines main El constructs. Goleman includes a set of emotional competencies within each construct of El. Emotional

competencies are not innate talents, but rather learned capabilities that must be worked on and can be developed to achieve outstanding performance. Goleman posits that individuals are born with a general emotional intelligence that determines their potential for learning emotional competencies.

Goleman defines emotional intelligence as 'the capacity for recognizing our own feelings and those of others, for motivating ourselves, for managing emotions well in ourselves and in our relationships'.

**Self-Awareness:** The ability to know one's emotions, strengths, weaknesses, drives values and goals and recognize their impact on others while using gut feelings to guide decisions.

#### Competencies:

- Emotional Self-Awareness: It is to know what one feels and why. According to Goleman emotional self awareness is the ability to recognize one's emotions and their effects on self and others.
- Accurate Self-Assessment: Self-awareness is key to realize one's own strengths and weaknesses. Individuals who score high in accurate self-assessment are aware of their abilities and limitations, seek out feedback and learn from their mistakes, and know where they need to improve and when to work with others who have complementary strengths.
  - Self-Confidence: Self-Confidence is a belief in one's own capability to accomplish a task and select an effective approach to a task or problem. This definition includes confidence in one's ability as expressed in increasingly challenging circumstances and confidence in one's decisions or opinions.

**Self-Management:** Involves controlling or redirecting one's disruptive emotions and impulses and adapting to changing circumstances. It is the ability to regulate distressing affects like anxiety and anger and to inhibit emotional impulsivity.

#### Competencies

- Emotional Self-Control: Goleman (1998) identified emotional self-control as the ability to keep one's impulsive feelings and emotions under control and restrain from negative actions when provoked, when faced with opposition or hostility from others, or even when working under pressure.
- Transparency/Trustworthiness: Transparency, also referred to as integrity, is having one's actions consistent with what one says. It includes communicating intentions, ideas, and feelings openly and directly, and welcoming openness and honesty, even in difficult situations.



- Conscientiousness: This stands for taking responsibility for personal performance. People who have this competency are able to meet commitments and honor promises. They are highly organized and careful at work.
- Adaptability: Adaptability is the ability to be flexible and work effectively within a variety of changing situations and with various individuals or groups.
- Achievement Orientation: Achievement is not just accomplishing things. Rather, it is accomplishing things through one's own efforts, against a clear, challenging standard of excellence. This competency is most effectively engaged in situations that provide immediate, concrete feedback from a credible source.
- **Initiative:** It is the ability to identify a problem, obstacle, or opportunity and take action in light of that to address current or future problems or opportunities.

**Social Awareness:** The ability to sense, understand, and react to others' emotions while comprehending social networks. Social Awareness competencies determine how we handle relationships.

- Empathy: Empathy gives people an astute awareness
  of others' emotions, concerns, and needs. The
  empathetic individual can read emotional currents,
  picks up nonverbal cues such as tone of voice or facial
  expression.
- Organizational Awareness: Organizational Awareness refers to one's ability to understand and learn the internal and external power relationships in an organization. The organizational awareness competency includes one's ability to identify real decision-makers and individuals with influence.
- Service Orientation: Service Orientation is a desire
  to help or serve others, in order to meet their needs.
  It means focusing one's efforts on discovering
  and meeting the customer's or client's needs and
  distinguishes star sales performers from average ones.

**Social Skills/Relationship Management:** The ability to inspire, influence, and develop others while managing conflict. The Relationship Management domain contains competencies that have the most direct effect on interactions with other people. In a fundamental sense, the effectiveness of one's relationship skills hinges on one's ability to attune to or influence the emotions of another person.

 Developing Others: Developing Others means to understand people's developmental needs and help in building their abilities. Although this ability is crucial for those who manage the leading work, it has also emerged as a vital skill for effective leadership at high levels.

- Inspirational Leadership: Inspirational Leadership
  implies a desire to lead others. Inspirational leaders
  are able to articulate and arouse enthusiasm for a
  shared vision and mission, to step forward as needed,
  to guide the performance of others while holding them
  accountable, and to lead by example.
- Influence: Influence is the ability to persuade, convince, or to impact others in order to get support on specific agenda or course of action.
- Change Catalyst: This stands for both initiating and managing change. People, who act as change catalyst, recognize the need for change and change the status quo of knowledge. They are better known as champions of change.
- Conflict Management: It is the ability to handle difficult individuals, groups of people, or tense situations with diplomacy and tact. This competency entails finding the best solution to a given problem or disagreement.
- Building Bonds: This means nurturing instrumental relationships. People who build bonds are able to cultivate and maintain extensive informal networks.
- **Teamwork and Collaboration:** Teamwork and Collaboration represents the ability to work cooperatively with others, to be the part of a team, to work together as opposed to working separately or competitively.

#### 5.3 Significance of El

"If your emotional abilities aren't in hand, if you don't have selfawareness, if you are not able to manage your distressing emotions, if you can't have empathy and have effective relationships, then no matter how smart you are, you are not going to get very far".

#### —Daniel Goleman

Emotional intelligence has received attentions by scholars as well as many organizations in recent times. It is suggested that a person should possess a fair amount of Intelligence Quotient (IQ) along with emotional intelligence in order to become successful. If you have a high level of emotional intelligence you'll find it easier to build stronger relationships, create success at work, and achieve your career and personal goals.

#### Advantages of El

- Personal Growth: Research shows that emotionally intelligent people achieve better results at work, school, and personal life. They are flexible enough to accept positive changes in their life for personal growth which can be achieved by developing El competencies.
- Manage Relationships: By understanding your emotions and how to control them, we are better able



to express that how you feel and understand how others are feeling. This allows you to communicate more effectively and forge stronger relationships, both at work and in your personal life.

- Optimism: It helps us to control our negative emotions so that we can maintain positive attitude even in adverse situations.
- Decision Making: Many researchers agree that the key to good decision making is the combination of both thinking and feeling in one's decisions. Positive moods and emotions help for better decision making. With positive emotions people can develop problemsolving skills and take good decisions quickly.
- Conflict Resolution: Emotionally Intelligent person can handle difficult individuals, groups of people, or tense situations with diplomacy and tact. He can find the best solution to a given problem or disagreement.
- Negotiation: It is an emotional process. By proper use
  of emotions and understanding moods of oneself and
  others, one can manage their conflict and stressful
  situations. A person can be successful in negotiations
  if he has an active listening techniques and skill of
  reading non-verbal cues.
- Mental Health: It helps us to manage our emotions which means we can also manage stress. It keeps us protected from the depression and anxiety. One can handle pressure with ease.
- Physical Health: If we are unable to manage stress levels, it can lead to serious health problems. Uncontrolled stress can raise blood pressure, suppress the immune system, and increase the risk of heart attack and stroke. Thus El can help us to manage stress levels and maintain good physical health.
- Can Get You Job: When it comes to gauging job candidates, many companies now view emotional intelligence as being as important as technical ability and require EQ testing before hiring.
- Improve Performance at Work: Emotional intelligence can help you navigate the social complexities of the workplace, lead and motivate others, and excel in your career.
- Manage Change: World today is changing at very fast pace. Technology, workplace culture or even relationships within family and society are undergoing changes. El helps us to manage such changes more confidently.
- Motivation and Creativity: Moods and emotions affect our mind. When we feel good about ourselves, we find the world around us a great motivator. This motivation helps us to express our personality better, creative and

optimistic. Emotionally intelligent person can motivate his attitude for himself and for others which produces better results at work and in personal life.

## 5.4 Emotional Intelligence at Work Place

"Many people with IQs of 160 work for people with IQs of 100, if the former have poor intrapersonal intelligence and the latter have a high one."

#### —Daniel Goleman

While there are many benefits to having high intelligence, many managers, supervisors, and other workers, particularly those who work in businesses in which interpersonal relationships are the key, have become keenly aware that workplace success may depend on their ability to use another invaluable personality trait i.e. emotional intelligence. In certain environments, employees with high emotional intelligence may be better able to cooperate with others, manage work-related stress, solve conflicts that may arise within workplace relationships, and learn from previous interpersonal mistakes.

#### Importance of EI at Workplace

- Relationship Management: Person with El can
  understand and manage his own and others emotions
  effectively. This helps to build better relationships
  between colleagues, between directors and staff, Also
  helps to build relationships between the organization
  and its customers, stakeholders, suppliers,
  competitors, networking contacts.
- Motivational Leadership/Management: Managers
  with high El can motivate their staff, create conducive
  work environment, build an effective team and help staff
  to achieve personal as well as organizational goals.
- Negotiations: Employees with better El can deal with a trading partner, competitor, customer or colleagues; understand their point of view and find win-win solution for all.
- Human Resource Management: HR managers have to deal with employees, understand their problems and redress the grievances. This requires HR managers to have high EI.
- Improve Performance at Work: Emotional intelligence can help you navigate the social complexities of the workplace, lead and motivate others, and excel in your career.
- **Job Satisfaction:** Individuals with high emotional intelligence are optimistic, can easily adapt to work environment, help to create healthy work environment. This leads to higher job satisfaction.



- Hiring: In recent times, entry-level hires are tested for their El. Stakeholders who are identified as having high leadership potential might deliver better results if emotional intelligence is made part of their development process.
- Accept Challenges and Changes: Competition at workplace has increased and many changes are taking place in work environment. Employees with high El tend to come out of comfort zone and align their skills and mindset according to the requirements of organization and thus act as a valuable resource.
- Family-Work Life Balance: With higher El a person can understand his family and work needs, manage stress and avoid any conflict between work and family life.

## 5.5 Emotional Intelligence in Governance

Governance today is beset with numerous problems, challenges and for effective governance we need public servants with high EI.

Governance today cannot be done effectively by adhering only to Max Weber's Model. His model of human nature assumes that efficiency and effectiveness are harmed if human emotions influence the rational actions of public administrators. Emotions are not intelligent and are opposed to reason. They are irrational, unproductive and subjective. Emotions should never guide administrative actions.

However, we must understand that all governance is people governance. All public service is people service. People have emotions and hence only emotionally intelligent public servants can bring effective governance.

#### Importance of EI in Governance

- Leadership and Teamwork Improvement:
   Governance is a work of team working under the
   particular public officer. Emotionally intelligent leader
   can influence his team, ensure that co-workers feel
   appreciated and understood, helps to enhance their
   devotion and enthusiasm for work.
- Better Service Delivery: Emotionally intelligent public servants can understand what citizens want and provide services effectively. To engage successfully in emotional labor adequate levels of emotional intelligence is an absolute prerequisite. Case workers, public health nurses, receptionists, counter clerks, public school teachers, etc. are required to sense emotions in others, while at the same time they need to manage their own feelings. Moreover, they must use this knowledge wisely to coordinate their further actions to reach the intended goal with their client.

- Each and every step of the interaction must display high levels of emotional intelligence on the part of the public servant. Otherwise customer satisfaction is likely to suffer and the perception of the government service is likely to be viewed as negative.
- Service Orientation: Service Orientation is a desire
  to help or serve others, in order to meet their needs.
  Emotionally intelligent public servant will be more
  service oriented because of empathy they have
  towards the problem of citizens.
- Organizational Awareness: Bureaucrats have to work in complex government organization. El helps to understand and learn the internal and external power relationships in an organization. It helps to identify real decision-makers and individuals with influence.
- Pressure Handling: Today work environment for civil services is much more complex and demanding. Bureaucrats face constant work pressure. El helps to handle such pressure.
- Conflict Management: Civil servants' personal values and organizational values can be in conflict. He may not agree with decisions of superiors. In such cases, conflict can be resolved by using EI.
- Handling Media: Constant monitoring of media and provocative questions need to be handled with equanimity of mind which requires El.
  - **Handling Recent Challenges:** El has become important for governance to tackle following challenges in the governance.
  - Quick politicization of issues.
  - Citizen awareness and constant vigil by internet and social media.
  - Inner dissent and conflicts over policies and implementation.
  - Increased tendency on part of the people to resort to agitation and aggression.

## 5.6 Emotional Intelligence and Leadership

Though emotional intelligence may not be necessary for every type of job, it can be a vital trait for most people in leadership positions. To be effective leaders in the workplace, managers, supervisors, and other authority figures must be able to function productively with people under their charge. A good leader is able to create the type of work environment where each person feels relevant and motivated to succeed.



#### Importance of EI in Leadership

- Inspire Others: Leaders with emotional intelligence are able to articulate and arouse enthusiasm for a shared vision and mission, to step forward as needed, to guide the performance of others while holding them accountable, and to lead by example.
- Relationship Management: Leaders with high
  emotional intelligence are able to use their social
  skills to foster rapport and trust with their employees.
  Effective leaders seek to understand and connect
  emotionally with their staff—genuinely sharing in their
  joys as well as their concerns.
- Team Building: They can have harmonious relationship within the team. They tend to view their team members as individuals with unique abilities, backgrounds, and personalities, rather than as a uniform collective.
- Conflict Management: Work relationships may experience problems sooner or later. When conflict arises, leaders with high emotional intelligence may be better able to control their own impulses, view the situation from all perspectives, and seek mutually beneficial solutions.
- Transparency: Emotionally intelligent leaders are transparent and are not afraid to admit when they are wrong. They are also more likely to try to improve work relationships.
- Flexibility and Adaptability: Emotionally intelligent leaders are flexible and adaptable. They change their decisions according to demand of situation and ready to accept viewpoints of others.

## 5.7 Downsides of Emotional Intelligence

Though emotional intelligence is usually painted in a positive light and is often considered to be a valuable personality trait, a balanced view of the concept can be important. Emotional intelligence, like any other skill or talent, may be used positively or negatively depending on the intentions of the person wielding the ability. Although the downside of higher EQ remains largely unexplored, there are many reasons for being cautious about a one-size-fits-all or higher-is-always-better take on EQ.

Following can be possible disadvantages of high EI:

 Manipulation: Emotional intelligence may also tempt us to manipulate others, either intentionally or accidentally. Emotions are highly motivating. They can be used to apply pressure to people to perform certain actions. Business leaders with high EQ and

- self-serving motives may toy with the emotions of their employees, using them to climb the corporate ladder with little regard for their workers' long-term welfare.
- Compromising Actions: When we are emotionally intelligent, we are keenly aware of how others feel in any given situation. While this can be a huge gift, it can also lead us to choose poorly due to your own feelings.

E.g. say your boss asks you to slightly fudge financial numbers, which you know is wrong. But you can tell that he's struggling emotionally with the situation because his job is on the line. Even though you know you shouldn't, you may be tempted to do what he asks because you feel the weight of his emotions.

- Prevention of Critical Thinking of Others:
   Emotionally intelligent people know how to work on a crowd. They can use emotions, words and even facial expressions for maximum impact. Rather than helping people engage a problem with critical thinking, they motivate by emotion, which in turn can lead to poor decisions.
- Difficulty in giving and Receiving Negative Feedback: High interpersonal sensitivity and empathic concern may make it hard for emotionally intelligent person to deliver critical or negative feedback to others. Also highly adjusted and cool-headed that they may be indifferent to any negative feedback they receive.
  - **Risk Aversion:** Most innovative ventures require a balance between risk taking and risk avoidance. People with emotional intelligence are likely to play it safe and avoid bold choices. This is because high EQ is associated with higher levels of conscientiousness.
- May Lead to Low Creativity: Creativity has long been associated with attributes that are characteristic of low EI: artistic moodiness, non conformism, hostile impulsivity, and an excitable ("up-and-down") personality. This may lead to low creativity in person with high emotional intelligence.
- Emotional Skills may not be Valued: One may feel that people simply don't value emotional intelligence like they should. Jobs that are more numbers or data driven, such as accounting or computer science, tend to place more value on analytical abilities than emotional ones. As such, one may find emotional intelligence unfortunately dismissed by some individuals. In these cases, one may be tempted to get discouraged or frustrated, feeling that your input and ideas aren't wanted.